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			2616	

DATE MAILED: 05/30/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/966,853

Applicant(s)

SALLER, FRANZ

Examiner

Christine Ng

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 20 March 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 28 September 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 1-4 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 recites the limitation "the network-externally valid connection destination" in lines 14-15. There is insufficient antecedent basis for this limitation in the claim.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1-4 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,772,210 to Edholm.

Referring to claim 1, Edholm discloses a method for establishing a connection from a network-internal terminal of a packet-based communication network to a network-external connection destination (public to private network or private to public network), the method comprising the steps of:

[Figure 2B] Transmitting (request 221), via the terminal logical address information (phone number of called VOIP device 102,110) that identifies the network-external connection destination (VOIP device 102,110) to a central connection controller (gatekeeper 112) provided for controlling and managing network-internal connections. Refer to Column 5, line 63 to Column 6, line 2.

[Figure 2B] Determining (response 222), via the connection controller, a gateway device (gateway 106) of the communication network as the connection destination based on the transmitted logical address information. Refer to Column 6, lines 3-9.

[Figure 2B] Establishing (not shown) a connection controlled by the connection controller between the terminal and the gateway device. The VOIP device 102,110 and gateway 106 can send messages such as request 223 and response 224 to each other.

[Figure 2B] Transmitting (request 223), via the terminal, the logical address information to the gateway device. Gateway 106 uses the phone number of the called VOIP device to determine a public network address or address/port number pair for the called VOIP device. Refer to Column 6, lines 10-13 and Column 6, line 32 to Column 7, line 12.

[Figure 2B] Determining (response 224), via the gateway device, a network-externally valid transport address (public network address or address/port number pair for the called VOIP device) which is assigned to the transmitted logical address information. Refer to Column 6, lines 14-31 and Column 6, line 32 to Column 7, line 12.

[Figure 8] Addressing, via the gateway device, the network-external connection destination in a relaying, packet-based communication network (VOIP network). Refer to Column 1, lines 19-27 and Column 7, line 62 to Column 8, line 9.

[Figure 8] Relaying the connection over the relaying communication network to the external connection destination based on the network-externally valid transport address. Depending on which direction the communication destination is, the gateway translates packets 801,803 into translated packets 802,804 using the public address or public address/port number for the called VOIP number. Refer to Column 7, line 13 to Column 8, line 9.

Wherein the gateway device (gateway 106) simulates to the connection controller (gatekeeper 112) that it is the destination and hides the relaying of the connection from the connection controller. The gatekeeper 112 determines the gateway 106 for the VOIP connection, based upon the area code of the called phone number and sends this information to the calling VOIP device 102,110. Therefore, the gateway 106 hides the relaying of the connection from the gatekeeper 112 since the gatekeeper 112 only interacts with the called device through the gateway 106. Furthermore, as shown in Figure 2B, the gatekeeper 112 is not part of the connection process after steps 221 and 222. The gateway 106 performs the steps of address translation in order for the calling and called devices to communicate over the VOIP connection. Refer to Column 6, lines 3-13; and Column 6, line 55 to Column 7, line 61.

Referring to claim 2, Edholm discloses the steps of:

[Figure 2B] Transmitting (response 222) to the terminal (VOIP device 102,110), via the connection controller (gatekeeper 112), a network-internally valid transport address (gateway address) which is assigned to the transmitted logical address information (area code of phone number of called VOIP device) and addresses the gateway device (gateway 106). Refer to Column 6, lines 3-9.

[Figure 2B] Initiating (by sending request 223) the connection to the gateway device, via the terminal, based on the network-internally valid transport address. Refer to Column 6, lines 10-13.

Referring to claim 3, Edholm discloses the step of:

Registering the gateway device (gateway 106) as a network-internal connection destination with the connection controller (gatekeeper 112) under the logical address information (phone number of called VOIP device) that identifies the network-external connection destination (VOIP device 102,110). The gatekeeper 112 determines the gateway 106 for the VOIP connection, based upon the area code of the called phone number and sends this information to the calling VOIP device 102,110. Refer to Column 6, lines 3-13.

Referring to claim 4, Edholm discloses the step of:

[Figure 8] Transmitting the logical address information (phone number of called VOIP device) to the network-external connection destination (VOIP device 102,110) over the relaying communication network (VOIP network). Refer to Column 7, line 62 to Column 8, line 9.

5. Claim 11 is rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,798,745 to Feinberg.

Feinberg discloses in Figure 1 a gateway apparatus (106), comprising:

A first packet-switched interface (connection to gatekeeper 108) to a gatekeeper-controlled communication network, the first interface conforming to ITU-T Recommendation H.323 and being designed for operation in a gatekeeper-controlled H.323 mode. Gateway 106 and gatekeeper 108 communicate using the H.323 protocol. Refer to Column 3, lines 45-53. The gatekeeper 108 provides terminal and gateway registration, address resolution, bandwidth control, and other network administration functions. Refer to Column 2, lines 61-63. As shown in Figure 2, signaling between the gateway 106 and gatekeeper 108 occurs over a packet-switched interface, the packet network signaling interface 206. Refer to Column 4, line 64 to Column 5, line 3.

A second packet-switched interface (connection to packet network 110) to a further communication network (packet network 110), the second interface conforming to ITU-T Recommendation H.323 and being designed for simultaneous operation in a non-gatekeeper H.323 mode. Gateway 106 uses the H.323 protocol. Refer to Column 3, lines 45-53. This connection between gateway 106 and packet network 110 is non-gatekeeper H.323 mode since it does not interact with the gatekeeper 108. Refer to Column 6, lines 21-25. Packet network 110 can be an IP network, FR network, ATM network, or any other packet-based network. Refer to Column 2, lines 47-54.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 6-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,363,065 to Thornton et al in view of U.S. Patent No. 6,772,210 to Edholm.

Referring to claim 6, Thornton et al disclose a packet-based communication network, comprising:

[Figure 11] A central connection controller (gatekeeper) for controlling and managing network-internal connections (inter-domain) and for converting logical address information (called VOIP number) that identifies network-internal connection destinations into network-internally valid transport addresses (network transport addresses) for transporting data packets within the communication network. Refer to Column 41, line 29 to Column 42, line 10. Gatekeepers provide address translation by translating between an Alias Address (phone number) of a telephony endpoint and its network transport address (IP address) using a routing table and descriptors. Refer to Column 18, lines 18-38. Gatekeepers use routing tables and routing information in the form of descriptors to resolve the called number into a network address within its own domain. Refer to Column 38, lines 39-61 and Column 43, lines 10-13.



[Figure 19] A gateway device (border element) that can be connected to a relaying communication network for converting logical address information (called VOIP number) that identifies network-external connection destinations into network-externally valid transport addresses (network transport addresses) for transporting data packets over the relaying communication network. When establishing a call between endpoints in Administrative Domains A and B, calling gatekeeper 420 issues a request to border element 430 to resolve the called number into a destination network address for the called endpoint. Refer to Column 55, lines 15-62.

Thornton et al do not disclose that the gateway device is registered in the connection controller as a network-internal connection destination under logical address information that identifies a network-external connection destination, and the external connection destination in the gateway device is registered as a network-external connection destination under the logical address information.

Edholm discloses that a gateway device (gateway 106) is registered as a network-internal connection destination under the logical address information (phone number of called VOIP device 102,110) that identifies the network-external connection destination (VOIP device 102,110), and the external connection destination in the gateway device is registered as a network-external connection destination under the logical address information. The gatekeeper 112 determines the gateway 106 for the VOIP connection, based upon the area code of the called phone number and sends this information to the calling VOIP device 102,110. Refer to Column 6, lines 3-13. Also, the external connection destination (called VOIP device 102,110) is registered as a

network-external connection destination under the logical address information, since the logical address information is the phone number of the called VOIP device 102,110.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include that the gateway device is registered in the connection controller as a network-internal connection destination under logical address information that identifies a network-external connection destination, and the external connection destination in the gateway device is registered as a network-external connection destination under the logical address information; the motivation being so that the logical address information (called VOIP device number) can represent both the gateway and the destination, thereby allowing the gateway to route the call to the destination.

Thornton et al also do not disclose that the gateway device simulates to the connection controller that the gateway device is the destination and hides the relying of the connection from the connection controller.

Edholm et al disclose in Figure 2B the gatekeeper 112 determines the gateway 106 for the VOIP connection, based upon the area code of the called phone number and sends this information to the calling VOIP device 102,110. Therefore, the gateway 106 hides the relying of the connection from the gatekeeper 112 since the gatekeeper 112 only interacts with the called device through the gateway 106. Furthermore, the gatekeeper 112 is not part of the connection process after steps 221 and 222. The gateway 106 performs the steps of address translation in order for the calling and called devices to communicate over the VOIP connection. Refer to Column 6, lines 3-13; and

Column 6, line 55 to Column 7, line 61. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include that the gateway device simulates to the connection controller that the gateway device is the destination and hides the relying of the connection from the connection controller. One would be motivated to do so in order to simplify the system so that the gatekeeper only interacts with the gateway to provide a communication path.

Referring to claim 7, Thornton et al disclose that the central connection controller (gatekeeper) is a gatekeeper conforming to ITU-T Recommendation H.323. Refer to Column 18, lines 18-24.

Referring to claim 8, Thornton et al disclose that the logical address information (called VOIP number) further comprises at least one of a prefix number (none), service number (phone number) and a terminal directory number (none). Refer to Column 18, lines 25-28.

Referring to claim 9, Thornton et al disclose that at least one of the network-internally valid transport address (network transport addresses) and the network-externally valid transport address (network transport addresses) are based on the Internet Protocol (IP). Refer to Column 18, lines 25-28.

Referring to claim 10, Thornton et al discloses that the gateway device further comprises an access control device for rejecting connection requests arriving from the relaying communication network whose respective origin is not registered in the gateway device. Thornton et al disclose that a gatekeeper may reject calls from telephony endpoint due to an authorization failure, which may include restricted access

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from particular telephony endpoints. Refer to Column 18, lines 58-63. If a endpoint is not registered (restricted access) as part of an administrative domain, it cannot send connection requests to the gateway of the administrative domain.

Thornton et al do not specifically disclose that this applies to connection requests whose respective origin is not registered as a *network-external connection destination*.

However, Thornton et al discloses that each gatekeeper controls access to the network for other telephony endpoints and other gateways that have registered with that gatekeeper. Other gateways include endpoints in another administrative domain. Refer to Column 18, lines 18-38. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to include that this applies to connection requests whose respective origin is not registered as a *network-external connection destination*; the motivation being so that each gatekeeper is only responsible for routing calls from certain destinations inside and outside its domain; thereby alleviating each gatekeeper through load balancing.

### ***Response to Arguments***

8. Applicant's arguments filed March 20, 2006 have been fully considered but they are not persuasive.

Referring to the argument of claim 1 that Edholm et al do not disclose that the gateway device simulates to the connection controller that it is the destination and hides the relying of the connection from the connection controller (page 6, line 23 to page 7, line 5): The gatekeeper 112 determines the gateway 106 for the VOIP connection, based upon the area code of the called phone number and sends this information to the

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calling VOIP device 102,110. Therefore, the gateway 106 hides the relying of the connection from the gatekeeper 112 since the gatekeeper 112 only interacts with the called device through the gateway 106. Furthermore, as shown in Figure 2B, the gatekeeper 112 is not part of the connection process after steps 221 and 222. The gatekeeper 112 sends a response 222 to the calling VOIP device 102,110 including the address of gateway 106, so that the gateway 106 can communicate directly with the calling and called VOIP devices. The gateway 106 performs the steps of address translation in order for the calling and called devices to communicate over the VOIP connection. Refer to Column 6, lines 3-13; and Column 6, line 55 to Column 7, line 61.

Referring to the argument of claim 1 that the “public network address or address/port number for the called VoIP device” in Edholm is not a network-externally valid transport address (page 7, lines 8-22): The gateway 106 performs network address translation. Network address translation is used to enable a VoIP device to communicate across two communicate networks that require different network addresses. For example, the gateway may map a private address for use in a private network to a public address for use in a public network. Refer to Column 3, line 44 to Column 4, line 7. Therefore, the “public network address or address/port number for the called VoIP device” reads on a “network externally valid transport address”, since the public network address can be used outside a private network for communication with devices in the public network. Furthermore, Figure 4 shows a flow diagram for when a call is initiated from a private VOIP device to a public VOIP device. Refer to Column 6, line 55 to Column 7, line 12.

Referring to the argument of claim 1 that Edholm et al do not disclose that the gateway allocates the network-externally valid transport address to the transmitted logical address information (page 7, line 23 to page 8, line 3): Edholm et al discloses "Upon receiving the request 223 from the calling VOIP device (102,11), the gateway 106 dynamically allocates a public address or public address/port number pair for the private VOIP device 110..." (Column 6, lines 14-17). Therefore, the phone number (logical address information) of the called device 110 is needed before the gateway 106 can allocate a public address or public address/port number pair (network-externally valid transport address) for the called device 110.

Referring to the argument of claim 11 (page 8, lines 7-25): As shown in Figure 1, since Feinberg discloses that gateway 106 includes a connection to gatekeeper 108 and a connection to packet network 110, it has two separate packet-switched interfaces. The claim does not specifically say that the communication network connected to the first interface is different from the communication network connected to the second interface. Since the second interface is part of gateway 106 and gateway 106 uses the H.323 protocol, the second interface also conforms to the H.323 protocol. Furthermore, the connection between gateway 106 and packet network 110 is non-gatekeeper H.323 mode since it does not interact with the gatekeeper 108. The claim does not specifically define what is a non-gatekeeper H.323 mode.

Referring to the argument of claim 6 that Edholm et al do not disclose that the gateway device simulates to the connection controller that it is the destination and hides the relying of the connection from the connection controller (page 9, lines 1-12): The

gatekeeper 112 determines the gateway 106 for the VOIP connection, based upon the area code of the called phone number and sends this information to the calling VOIP device 102,110. Therefore, the gateway 106 hides the relying of the connection from the gatekeeper 112 since the gatekeeper 112 only interacts with the called device through the gateway 106. Furthermore, as shown in Figure 2B, the gatekeeper 112 is not part of the connection process after steps 221 and 222. The gatekeeper 112 sends a response 222 to the calling VOIP device 102,110 including the address of gateway 106, so that the gateway 106 can communicate directly with the calling and called VOIP devices. The gateway 106 performs the steps of address translation in order for the calling and called devices to communicate over the VOIP connection. Refer to Column 6, lines 3-13; and Column 6, line 55 to Column 7, line 61.

In response to applicant's argument that there is no suggestion to combine the references (referring to claim 6; page 9, lines 13-20), the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, Edholm (Figure 2B) and Thornton et al (Figure 19) both show methods to connect two networks using a gatekeeper and gateway, with the gateway providing address translation between the two networks: the private and public network in Edholm, and the intradomain and interdomain networks in Thornton et al. By

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modifying Thornton et al to include that the logical address information (called number) represents the network-internal connection destination (gateway) and the external connection destination (called device), the gatekeeper can route calls both within and outside the network. As shown in Thornton et al, Figure 19, if the endpoint (represented by the called endpoint number) is not within administrative domain A, gatekeeper 420<sub>1</sub> issues a request to border element 430 to provide a destination network address for the called endpoint. One would be motivated to modify the called endpoint number to represent both the gateway and the actual external connection destination in order to facilitate call routing. If the called endpoint number is not within an administrative domain, the call can be routed directly to a gateway for address translation, and if the called endpoint point is within the administrative domain, the call can be routed directly to the endpoint.

### ***Conclusion***


9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christine Ng whose telephone number is (571) 272-3124. The examiner can normally be reached on M-F; 8:00 am - 5:00 pm.

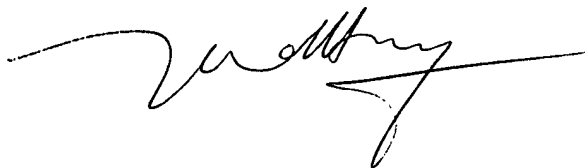
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Ngo can be reached on (571) 272-3139. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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C. Ng   
May 16, 2006



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**SUPERVISORY PATENT EXAMINER**  
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